

Annex - Questionnaire

Measures taken to implement the Convention and the commitments contained in the UNGASS political declaration, as well as good practices and progress made in the use of international cooperation mechanisms under the Convention¹

Country	The People's Republic of China
Implementing authority/authorities	Civil Service Bureau (CSB), Hong Kong Special Administrative Region (HKSAR)
Corresponding paragraph(s) of the UNGASS political declaration and/or article(s) of the Convention	<u>UNCAC</u> Articles 7(4) and 8(5)
Title of initiative	Declaration of Investments for civil servants
Keywords of initiative	Declaration of Investments for civil servants
Short summary of initiative (please indicate the start date/duration if relevant)	To maintain a set of rules and guidelines for civil servants to avoid and to declare any conflict of interest with their official duties.
Detailed description of initiative	<p>The HKSAR Government has put in place a set of rules and guidelines for civil servants to avoid and to declare any conflict of interest with their official duties. In gist -</p> <ul style="list-style-type: none"> (a) all civil servants are required to avoid any conflict of interest between their investments and official duties and to report any investments which may give rise to such conflict. (b) for civil servants holding directorate posts or posts with high risk of exposure to potential conflict of interest situations, they are required to declare their investments regularly and report any single investment transaction exceeding a certain threshold. (c) for civil servants holding key posts, on top of (b) above, they are also required to register for public inspection their financial interests in: (i) land and buildings; (ii) proprietorships, partnerships or directorships of companies; and (iii) shareholdings of 1% or more of the issued share capital in any listed, public or private company.

¹ Please use one form per initiative described

	Civil servants in breach of the requirements are liable to disciplinary actions.
Lessons learned in implementing the initiative	-
Challenges encountered in implementing the initiative	-
Link to more information	https://www.csb.gov.hk/english/admin/conduct/139.html